

Interpreting non-Hausdorff (generalized) manifolds in General Relativity

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Abstract

The paper investigates the relations between Hausdorff and non-Hausdorff manifolds as objects of General Relativity. We show that every non-Hausdorff manifold can be seen as a result of gluing together some Hausdorff manifolds. In the light of this result, we investigate a modal interpretation of a non-Hausdorff differential manifold, according to which it represents a bundle of alternative spacetimes, all of which are compatible with a given initial data set.

1 Introduction

The topic of this paper is a dialectic between Hausdorff differential manifolds and non-Hausdorff differential manifolds as objects of General Relativity (GR): the former assume the so-called Hausdorff topological separation condition (which frequently is taken as part of the definition of differential manifolds), whereas the latter assume that this condition is violated. Both varieties thus form a sub-species of generalized differential manifolds. Our interest is restricted to these two varieties as they are used in GR or the philosophy thereof and is motivated by three observations: (i) As a matter of fact, in GR one finds constructions of non-Hausdorff differential manifolds, despite the almost universally accepted assumption that GR spacetimes are Hausdorff manifolds.¹ (ii) In the 1970s, these constructions prompted a wave of research into whether GR space-times can be identified with a generalized differential manifold rather than a Hausdorff one. The initial optimism cooled, as testified by Penrose’s “back to sanity” confession: “I must ... return firmly to sanity by repeating to myself three

¹ By saying that one finds non-Hausdorff manifolds in GR, or that they occur in GR, we mean that these objects have been investigated in the standard literature on GR. We do not investigate here the reasons for assuming the Hausdorff condition in GR, or the arguments to the contrary that non-Hausdorff manifolds make sense in General Relativity. For a relevant paper, see Luc (2018).

times: spacetime is a Hausdorff differentiable manifold; spacetime is a Hausdorff . . .” Penrose (1979). (iii) Finally, the issue of Hausdorffness vs. non-Hausdorffness also pops up in attempts by branching spacetime theories to model local possibilities occurring in a spatiotemporal world, initiated by Belnap (1992). The non-Hausdorffness of the proposed modalo-spatio-temporal structures is viewed as a flaw in them (cf. Earman (2008)).

These observations prompt two queries that our paper will address:

- (1) How are Hausdorff manifolds and non-Hausdorff manifolds related?
- (2) How are non-Hausdorff manifolds that occur in GR to be interpreted? We opt for a view according to which a non-Hausdorff manifold is a modal representation that captures a bundle of alternative possible space-times; the advantage of this understanding is that it disarms most objections to the use of non-Hausdorff manifolds in GR. There is, however, a certain oddity in interpreting non-Hausdorff manifolds as a family of alternative spatio-temporal scenarios evolving from a given region. A failure of the Hausdorff condition does not entail the existence of bifurcating trajectories, although it permits it. Bifurcating trajectories is what one expects if one thinks of indeterminism as happening locally, i.e. as produced by small objects that are possibly developing in one way or another in some restricted spatio-temporal regions. It turns out, however, that the modal interpretation of non-Hausdorff manifolds suggests an additional constraint on the gluing technique by which they are constructed; this additional constraint prohibits bifurcating trajectories, and hence eliminates precisely those constructions that would otherwise represent locally indeterministic scenarios.

The paper is organized as follows. In Section 2, after recalling the necessary definitions, we introduce the gluing technique and show that it produces a non-Hausdorff topological manifold from Hausdorff differential manifolds. Section 3 relates to the non-Hausdorff manifolds considered in physics: it describes in detail how a non-Hausdorff extension of non-isometric Taub-NUT spacetimes is produced by a gluing procedure. Section 4 contains two theorems that relate these species of differential manifolds to each other: all non-Hausdorff differential manifolds can be decomposed (in some precise sense) into maximal Hausdorff sub-manifolds, and all non-Hausdorff manifolds can be produced by gluing together a family of Hausdorff differential manifolds. These results form the backbone of a modal reading of non-Hausdorff manifolds. In Section 5 we discuss Hájíček’s necessary and sufficient conditions for the existence of bifurcating curves in non-Hausdorff manifolds. We conclude the paper in Section 6.

2 Gluing introduced

Let us begin by introducing three villains of the peace: generalized, Hausdorff, and non-Hausdorff differential manifolds:

Definition 1 (manifolds: generalized, Hausdorff, non-Hausdorff). *A collection of pairs $\{\langle u_\gamma, \phi_\gamma \rangle\}_{\gamma \in \Gamma}$ (where Γ is an index set), with each $u_\gamma \subseteq M$ for a set M , is a C^r n -atlas on M if u_γ 's cover M , each ϕ_γ is a bijection between u_γ and an open subset of \mathbb{R}^n , and for any two $\langle u_\gamma, \phi_\gamma \rangle$ and $\langle u_\tau, \phi_\tau \rangle$, if $u_{\gamma\tau} := u_\gamma \cap u_\tau \neq \emptyset$, then $\phi_\gamma[u_{\gamma\tau}]$ and $\phi_\tau[u_{\gamma\tau}]$ are open subsets of \mathbb{R}^n and composite functions $\phi_\gamma \circ \phi_\tau^{-1}$ and $\phi_\tau \circ \phi_\gamma^{-1}$ are C^r on their domains.*

A pair $\langle M, A \rangle$, where M is a non-empty set and A a maximal C^r n -atlas on M , is a C^r n -dimensional generalized differential manifold.

If a C^r n -dimensional generalized differential manifold satisfies the condition that for any distinct $p, q \in M$ there are $\langle u_\gamma, \phi_\gamma \rangle, \langle u_\tau, \phi_\tau \rangle \in A$ such that $p \in u_\gamma$, $q \in u_\tau$ and $u_\gamma \cap u_\tau = \emptyset$, then it is called a C^r n -dimensional Hausdorff differential manifold.²

If a C^r n -dimensional generalized differential manifold does not satisfy the above condition, it is called a C^r n -dimensional non-Hausdorff differential manifold.

Elements of an atlas are called charts. Whenever confusion is unlikely, we omit the qualifications “ C^r , n -dimensional, differential”, and just write “generalized” (or Hausdorff or non-Hausdorff) d-manifold, with “d” for “differential”. If $r = 0$, i.e., composite maps are merely continuous, a C^r d-manifold collapses into a topological manifold. Note that by definition, both topological manifolds and d-manifolds are locally Euclidean, i.e., for every $x \in M$ there is $u \subseteq M, x \in u$ and a bijection ϕ between u and an open subset of \mathbb{R}^n .

We describe now the gluing technique which can be used to produce non-Hausdorff d-manifolds. We will later (in Theorem 3) see that that this technique provides a universal method of constructing non-Hausdorff d-manifolds out of Hausdorff d-manifolds by gluing the latter appropriately. Then we will illustrate it by applying it to a real physics example: a non-Hausdorff extension of the so-called Taub space. We begin with these definitions:

Definition 2 (gluing function). *Let $\mathcal{W}_1 = \langle W_1, A_{W_1}, g_1 \rangle$ and $\mathcal{W}_2 = \langle W_2, A_{W_2}, g_2 \rangle$ be d -manifolds. Then $\phi : U_{12} \mapsto U_{21}$, where $U_{12} \subseteq W_1, U_{21} \subseteq W_2$ is a gluing map if*

- U_{12} is open,

²Since an atlas induces a topology \mathcal{T} on set M by the condition $O \in \mathcal{T}$ iff $\forall x \in O$, there is chart $\langle u_\gamma, \phi_\gamma \rangle$ in the atlas such that $x \in u_\gamma$; an equivalent way of defining Hausdorff manifolds is to say that the induced topology is Hausdorff.

- ϕ is an isometry.

Clearly, the definition implies that U_{21} is open as well.

Definition 3 (gluing d-manifolds together). *A C^r n -dim generalized d -manifold $\langle W, A_W, g \rangle$ is a result of gluing together a family of C^r n -dim Hausdorff differential d -manifolds $\{\langle W_\mu, A_{W_\mu}, g_\mu \rangle\}_{\mu \in \Gamma}$ (where Γ is an index set of an arbitrary cardinality) iff*

1. *there exists a family of gluing functions*

$$\{\phi_{\mu\nu} \in U_{\mu\nu} \mapsto U_{\nu\mu} \mid U_{\mu\nu} \subseteq W_\mu, U_{\nu\mu} \subseteq W_\nu, \mu, \nu \in \Gamma\};$$

2. *the relation $R(x, y)$, as defined below, is an equivalence relation on $\bigcup_{\mu \in \Gamma} W_\mu$*

$$R(x, y) \Leftrightarrow \begin{cases} x = y \text{ or} \\ \exists \mu, \nu \in \Gamma [x \in U_{\mu\nu} \wedge y \in U_{\nu\mu} \wedge y = \phi_{\mu\nu}(x)]; \end{cases}$$

3. *W is the set of representatives of the quotient structure $\bigcup_{\mu \in \Gamma} W_\mu / R$;*
4. *the topology \mathcal{T} induced on W by atlas A_W is the coarsest-grained topology on W that satisfies the condition:*

$$O \in \mathcal{T}_\mu \Rightarrow f_\mu[O] \in \mathcal{T}, \quad (1)$$

where \mathcal{T}_μ is the topology induced on W_μ by atlas A_{W_μ} and $\tilde{W}_\mu = \{p \in W \mid \exists_X X \in (\bigcup_\nu W_\nu) / R \wedge X \cap W_\mu \neq \emptyset \wedge p \in X\}$ and $f_\mu : W_\mu \mapsto \tilde{W}_\mu$ s.t. $f_\mu(p) = q$, where $R(p, q)$;

5. *The metric g and metrics g_μ agree in the sense that $g(p) = g_\mu(q)$ for any $p \in W$, $q \in W_\mu$ such that $\exists_X X \in (\bigcup_\nu W_\nu) / R \wedge p \in X \wedge q \in X$.*

To comment on clause 1, it says that for any pair of d -manifolds, $\langle W_\mu, A_\mu, g_\mu \rangle$ and $\langle W_\nu, A_\nu, g_\nu \rangle$, there are subsets $U_{\mu\nu} \subseteq W_\mu$ and $U_{\nu\mu} \subseteq W_\nu$ that are identified by a gluing function. Clause 2 defines relation $R(x, y)$ and postulates it to be an equivalence relation on $\bigcup_{\mu \in \Gamma} W_\mu$. We may require instead that (i) for each map $\phi_{\mu\nu}$ there is a map $\phi_{\nu\mu} = \phi_{\mu\nu}^{-1}$ and (ii) for any two maps $\phi_{\mu\nu} : U_{\mu\nu} \rightarrow U_{\nu\mu}$, $\phi_{\nu\eta} : U_{\nu\eta} \rightarrow U_{\eta\nu}$, if $U_{\nu\mu} \cap U_{\nu\eta} := U_{\nu\mu\eta} \neq \emptyset$, then there is a third map $\phi_{\mu\eta} : U_{\mu\eta} \rightarrow U_{\eta\mu}$, with $U_{\mu\eta} = \phi_{\nu\mu}[U_{\nu\mu\eta}] \subseteq U_{\mu\nu}$ and $U_{\eta\mu} = \phi_{\nu\eta}[U_{\nu\mu\eta}] \subseteq U_{\eta\nu}$, such that for any $x \in U_{\mu\eta}$: $\phi_{\nu\eta}(\phi_{\mu\nu}(x)) = \phi_{\mu\eta}(x)$. Thus, clause 2 can be understood as stating a consistency condition on a family of maps, their domains and counter-domains. Clause 3 requires one to produce a set of representatives of the quotient structure. This move might look superfluous, but it is needed later in Theorem 3, in which we prove that by gluing the maximal Hausdorff submanifolds of a given manifold one

obtains exactly the initial manifold, and not a structurally identical manifold with points replaced by sets of points. Observe here that if a point x belongs to $\bigcup_{\mu \in \Gamma} (W_\mu \setminus \bigcup_{\eta \in \Gamma} U_{\mu\eta})$, it gives rise to the singleton of x , $\{x\}$ in the quotient structure, so taking a representative is trivial. Yet, a class in the quotient structure can be infinite, so one may need the axiom of choice to secure a representative of that class in clause (3). In clause (4) \tilde{W}_μ consists of counterparts of elements of W_μ in W and f_μ is then a bijection between \tilde{W}_μ and W_μ . As for clause (5), even if p is associated with many q 's from different W_μ 's, since such q 's are connected by the isometry, the metric at all these points is the same, and hence the definition of g is consistent.

Observe that the definition 3 does not require any specific relation between atlas A and atlases A_μ , apart from the relation between the topologies that these atlases induce (which is the topic of clause (4)). This reflects the role the atlases play: they induce topologies and produce a differential structure, but for the physical content of the model it is irrelevant what specific functions these charts contain.

Before we proceed, we prove two facts that are pertinent to the definition above:

Fact 1. *Let d -manifold $\langle W, A_W, g \rangle$ be a result of gluing together a family of d -manifolds $\{\langle W_\mu, A_{W_\mu}, g_\mu \rangle\}_{\mu \in \Gamma}$, with \mathcal{T} and \mathcal{T}_μ ($\mu \in \Gamma$) being the topologies on W and W_μ , resp. Then*

$$O \in \mathcal{T} \Rightarrow \forall_{\mu \in \Gamma} f_\mu^{-1}[O \cap \tilde{W}_\mu] \in \mathcal{T}_\mu. \quad (2)$$

Proof. Let's assume that the premise of this fact is true and $O \in \mathcal{T}$. Pick an arbitrary $\mu \in \Gamma$. Since $W_\mu \in \mathcal{T}_\mu$, $\tilde{W}_\mu \in \mathcal{T}$ by Eq. 1 and hence $O \cap \tilde{W}_\mu \in \mathcal{T}$. Since \mathcal{T} is the coarsest-grained topology on W satisfying Eq. 1, $O \cap \tilde{W}_\mu$ must be the union of some $O_k \in \mathcal{T}$ such that $f_\mu^{-1}[O_k] \in \mathcal{T}_\mu$, and hence $\bigcup_k f_\mu^{-1}[O_k] \in \mathcal{T}_\mu$. We also get these identities: $\bigcup_k f_\mu^{-1}[O_k] = f_\mu^{-1}[\bigcup_k O_k] = f_\mu^{-1}[O \cap \tilde{W}_\mu]$.³ Thus $f_\mu^{-1}[O \cap \tilde{W}_\mu] \in \mathcal{T}_\mu$. Since this argument goes through for an arbitrary $\mu \in \Gamma$, the right-hand side of the implication above follows. \square

As an immediate consequence of this fact and Eq. 1, we have the following:

Fact 2. $f_\mu : W_\mu \rightarrow \tilde{W}_\mu$ is a homeomorphism.

Proof. Plug in W_μ in Eq. 1 and \tilde{W}_μ in Eq. 2. \square

At this junction we know what it means that a generalized d -manifold results from gluing together a family of Hausdorff differential

³To justify these identities, $x \in \bigcup_k f_\mu^{-1}[O_k] \Leftrightarrow x \in f_\mu^{-1}[O_n]$ for some $n \Leftrightarrow f_\mu(x) \in O_n$ for some $n \Leftrightarrow f_\mu(x) \in \bigcup_k O_k \Leftrightarrow x \in f_\mu^{-1}[\bigcup_k O_k]$.

d-manifolds. We do not know yet, however, whether there are instances of this definition, i.e., whether there is a generalized d-manifold and a family of Hausdorff d-manifolds that are related in the way the definition above prescribes. We argue now that Definition 3 has instances by proving first that the product of the gluing construction is a generalized C^0 d-manifold, i.e., a generalized topological manifold.

Theorem 1. *Let $\{\langle W_\mu, A_{W_\mu}, g_\mu \rangle\}_{\mu \in \Gamma}$ be a family (of an arbitrary cardinality) of C^r n-dim Hausdorff d-manifolds. Then the product of the gluing procedure, $\langle W, A, g \rangle$ is a C^0 n-dim generalized d-manifold (aka generalized topological manifold). Further, if for each $\mu \in \Gamma$ the topology \mathcal{T}_μ that A_μ induces on W_μ is connected, the topology \mathcal{T} on W is connected as well.*

Proof. To prove local Euclidity, we need to check that any $p \in W$ has an open neighborhood that is mapped onto an open subset of \mathfrak{R}^n . Let's thus take an arbitrary $p \in W$. There is then some $\mu \in \Gamma$ such that $f_\mu^{-1}(p) = p' \in W_\mu$. Accordingly, there is $\langle u', \phi' \rangle \in A_\mu$ such that $p' \in u'$. Hence $p \in f_\mu(u') := u$ and $u \in \mathcal{T}$ since $u' \in \mathcal{T}_\mu$. Since $\phi' : u' \rightarrow A \in \mathcal{T}_{\mathfrak{R}^n}$ and $f_\mu^{-1} : \tilde{W}_\mu \rightarrow W_\mu$ are bijections, a sought-for bijection is the composition $\phi' \circ f_\mu^{-1}$.

As for C^0 , we need to prove that composite maps $\psi \circ f_\eta^{-1}(\phi' \circ f_\mu^{-1})^{-1}$ are C^0 , with $\langle \psi, u \rangle \in A_\eta$ and $\langle \phi', u' \rangle \in A_\mu$. Since by the construction each ψ, ϕ', f_μ , and f_η is a homeomorphism, the composition is a continuous function. Finally, we argue that \mathcal{T} is connected– if every component topology \mathcal{T}_μ is connected. For reductio, let us assume that there is $A \in \mathcal{T}$, $A \neq \emptyset, A \neq W$, such that $W \setminus A \in \mathcal{T}$ as well. By Eq. 2 we get (i) $\forall \mu \in \Gamma f_\mu^{-1}[A \cap \tilde{W}_\mu] \in \mathcal{T}_\mu$ and (ii) $\forall \mu \in \Gamma f_\mu^{-1}[(W \setminus A) \cap \tilde{W}_\mu] \in \mathcal{T}_\mu$. It requires only an easy calculation to see that for each μ , one is the complement of the other, i.e., (iii) $f_\mu^{-1}[A \cap \tilde{W}_\mu] \cup f_\mu^{-1}[(W \setminus A) \cap \tilde{W}_\mu] = W_\mu$ and $f_\mu^{-1}[A \cap \tilde{W}_\mu] \cap f_\mu^{-1}[(W \setminus A) \cap \tilde{W}_\mu] = \emptyset$. It is also (iv) impossible that $f_\mu^{-1}[A \cap \tilde{W}_\mu] = W_\mu = f_\mu^{-1}[(W \setminus A) \cap \tilde{W}_\mu]$ since this implies $\tilde{W}_\mu \subseteq A$ and $\tilde{W}_\mu \subseteq W \setminus A$. But then (i), (ii), (iii), and (iv) entails that for every $\mu \in \Gamma$, \mathcal{T}_μ is not connected, contrary to the Fact's assumption. \square

Observe that with the above theorem we arrived at a C^0 n-dim non-Hausdorff d-manifold, i.e., an n-dim non-Hausdorff topological manifold. To obtain a C^r ($r > 0$) d-manifold, with its atlas resulting from atlases of component manifolds, compositions $\psi \circ f_\eta^{-1}(\phi' \circ f_\mu^{-1})^{-1}$ should be C^r continuous, but they do not need to be so if $\mu \neq \eta$.⁴ This means that to produce a C^r ($r > 0$) d-manifold, an additional job is needed that consists in appropriately smoothing the maps ϕ and ϕ' that belong to charts from different atlases. There are limitations

⁴But clearly, if $\mu = \eta$, we immediately get C^r ($r > 0$) continuity since $f_m^{-1} \circ f_m = id$ and $\psi \circ (\phi'^{-1})$ is C^r , as these two functions belong to charts from the same atlas.

to this procedure as there are topological manifolds that do not admit a C^r ($r > 0$)-structure, as was proved by Kervaire (1960). However, it is not clear if such troublesome topological manifolds can be constructed by our gluing procedure, which operates on a C^r d-manifold. In any case, barring Kervaire's cases, the introduction of the required differentiability structure is possible, which suffices to ensure that our Definition 3 is not empty. Besides, the problem with C^r continuity will not arise in our main theorem about the construction of non-Hausdorff d-manifolds from families of Hausdorff d-manifolds, as it selects particular families of Hausdorff d-manifolds.

3 Gluing in action

One may wonder in what contexts non-Hausdorff d-manifolds appear in GR literature, and whether such manifolds are constructible by the gluing technique. A typical context for the occurrence of a non-Hausdorff d-manifold is a failure of the well-posedness of the initial value problem: if Einstein's Field Equations (EFE) admit multiple solutions, these solutions can be glued together, the results being a non-Hausdorff d-manifold with a metric that still satisfies EFE. In this spirit Hawking and Ellis (1973) sketched the construction of non-Hausdorff d-manifolds that are extensions of Misner spacetime and the Taub spacetime.

As it is far from clear that these constructions are equivalent to our gluing technique, we now describe a non-Hausdorff d-manifold obtained by gluing together extensions of the Taub spacetime. An analogous technique can be used to produce more realistic general-relativistic examples, like pasting together extensions of Gowdy polarized spacetime (see e.g. Chruściel and Isenberg (1991)).

The Taub spacetime is given by set $M = (t_-, t_+) \times S^3$, with $t_-, t_+ \in \mathfrak{R}$ and $t_- < t_+$. The metric is the following:

$$g = -U^{-1} dt^2 + (2l)^2 U (d\psi + \cos \Theta d\phi)^2 + (t^2 + l^2) (d\Theta^2 + \sin^2 \Theta d\phi^2),$$

where

$$U(t) = \frac{(t_+ - t)(t_- - t)}{t^2 + l^2} \text{ and } t_{\pm} = m \pm (l^2 + m^2)^{1/2},$$

m and l are positive constants, and Θ, ψ, ϕ are Euler angles on S^3 . We will denote the Taub spacetime by $\langle M, A, g \rangle$, where A is a maximal atlas on M . The spacetime is singular at t_{\pm} , but, as first shown by Newman et al. (1963), it can be extended forward into two spacetimes $\langle M^{\uparrow+}, A^{\uparrow+}, g^{\uparrow+} \rangle$ and $\langle M^{\uparrow-}, A^{\uparrow-}, g^{\uparrow-} \rangle$, each with topology $(t_-, \infty) \times S^3$, embeddings $\Lambda^{\uparrow\pm} : M \rightarrow M^{\uparrow\pm}$, and metrics

$$g^{\uparrow\pm} = \pm(4l)(d\psi + \cos \Theta d\phi)dt + (2l)^2 U (d\psi + \cos \Theta d\phi)^2 + (t^2 + l^2) (d\Theta^2 + \sin^2 \Theta d\phi^2).$$

(To recall, to say that $\Lambda : M \rightarrow M'$ is an embedding means that $\Lambda : M \rightarrow \Lambda[M]$ is a diffeomorphism and $\Lambda[M]$ is open in the topology on M' . For details of the construction consult Chruściel and Isenberg (1991).) Analogously, $\langle M, A, g \rangle$ can be extended downward into two spacetimes $\langle M^{\downarrow+}, A^{\downarrow+}, g^{\downarrow+} \rangle$ and $\langle M^{\downarrow-}, A^{\downarrow-}, g^{\downarrow-} \rangle$, each with topology $(-\infty, t_+)_+ \times S^3$, embeddings $\Lambda^{\downarrow\pm} : M \rightarrow M^{\downarrow\pm}$, and metrics $g^{\downarrow\pm} = g^{\uparrow\pm}$. Importantly, the upward and downward extensions can be pairwise pasted together, producing four spacetimes, known as Taub-NUT spacetimes: $\langle M^{\uparrow+\downarrow+}, A^{++}, g^{++} \rangle$, $\langle M^{\uparrow+\downarrow-}, A^{+-}, g^{+-} \rangle$, $\langle M^{\uparrow-\downarrow+}, A^{-+}, g^{-+} \rangle$, $\langle M^{\uparrow-\downarrow-}, A^{--}, g^{--} \rangle$, each with topology $(-\infty, \infty) \times S^3$. The corresponding embeddings are denoted by $i^{\uparrow ab} : M^{\uparrow a} \rightarrow M^{\uparrow a\downarrow b}$ and $i^{\downarrow ab} : M^{\downarrow b} \rightarrow M^{\uparrow a\downarrow b}$. Each Taub-NUT spacetime is Hausdorff. As for isometry, Chruściel and Isenberg (1991) proved that while the pairs $\langle M^{\uparrow+\downarrow+}, A^{++}, g^{++} \rangle$, $\langle M^{\uparrow-\downarrow-}, A^{--}, g^{--} \rangle$ and $\langle M^{\uparrow+\downarrow-}, A^{+-}, g^{+-} \rangle$, $\langle M^{\uparrow-\downarrow+}, A^{-+}, g^{-+} \rangle$ are isometric, the pair $\langle M^{\uparrow+\downarrow-}, A^{+-}, g^{+-} \rangle$, $\langle M^{\uparrow-\downarrow+}, A^{-+}, g^{-+} \rangle$ is non-isometric. Note that the non-isometric pair is produced by embeddings:

$$i^{\uparrow++} : M^{\uparrow+} \rightarrow M^{\uparrow+\downarrow+} \quad i^{\uparrow+-} : M^{\uparrow+} \rightarrow M^{\uparrow+\downarrow-}.$$

These embeddings establish an isometry between $i^{\downarrow++}[M^{\uparrow+}] \subseteq M^{\uparrow+\downarrow+}$ and $i^{\downarrow+-}[M^{\uparrow+}] \subseteq M^{\uparrow+\downarrow-}$. Thus, $i^{\downarrow++}[M^{\uparrow+}]$ and $i^{\downarrow+-}[M^{\uparrow+}]$ establish isometric segments of otherwise non-isometric Taub-NUT spacetimes. Moreover, these are maximal isometric segments (Chruściel and Isenberg, 1991). We will use this fact to produce a non-Hausdorff d-manifold by means the gluing procedure described in Definition 3.

Consider non-isometric Taub-NUT spacetimes $\langle M^{\uparrow+\downarrow-}, A^{+-}, g^{+-} \rangle$ and $\langle M^{\uparrow-\downarrow+}, A^{-+}, g^{-+} \rangle$. By the definition of embedding, $U^{++} := i^{\downarrow++}[M^{\uparrow+}] \subseteq M^{\uparrow+\downarrow+}$ and $U^{+-} := i^{\downarrow+-}[M^{\uparrow+}] \subseteq M^{\uparrow+\downarrow-}$ are open. Clearly, the function $i^{\downarrow+-} \circ (i^{\downarrow++})^{-1} : i^{\downarrow++}[M^{\uparrow+}] \rightarrow i^{\downarrow+-}[M^{\uparrow+}]$ and its inverse are isometries. Thus, we have two gluing functions that link the two non-isometric spacetimes: $\phi_{12} := \Lambda^{\downarrow+-} \circ (\Lambda^{\downarrow++})^{-1}$ and $\phi_{21} = \phi_{12}^{-1}$.

We describe now the d-manifold $\langle W, A, g \rangle$ that is a result of gluing two d-manifolds $\langle M^{\uparrow+\downarrow-}, A^{+-}, g^{+-} \rangle$ and $\langle M^{\uparrow-\downarrow+}, A^{-+}, g^{-+} \rangle$ in accord with Definition 3. The glued subsets are $U^{++} \subseteq M^{\uparrow+\downarrow+}$ and $U^{+-} \subseteq M^{\uparrow+\downarrow-}$, the gluing functions being ϕ_{12} and ϕ_{21} . As we have only these two gluing functions, it is easy to see that $R(x, y)$ is indeed an equivalence relation on the union $M^{\uparrow+\downarrow+} \cup M^{\uparrow+\downarrow-}$. We thus take for W a set of representatives of $(M^{\uparrow+\downarrow+} \cup M^{\uparrow+\downarrow-})/R$. Next we define the topology on W as the coarsest-grained topology that satisfies $O \in \mathcal{T}^{++} \rightarrow f_{++}[O] \in \mathcal{T}$ and $O \in \mathcal{T}^{+-} \rightarrow f_{+-}[O] \in \mathcal{T}$, where $\mathcal{T}^{+\pm}$ is the topology induced by atlas $A^{+\pm}$ on $M^{\uparrow+\downarrow\pm}$. We chose a maximal atlas on W that yields exactly this topology. Finally, to unpack clause (5), the metric $g(p)$, $p \in W$ and metrics $g^{++}(q)$, $q \in M^{++}$ (or $g^{+-}(q)$, $q \in M^{+-}$) agree whenever p and q belong to same equivalence

class. However, this occurs if p and q are identical or linked by a gluing function (which is an isometry), so the clause is indeed satisfied.

We now claim that the d-manifold $\langle W, A, g \rangle$, constructed as above, is non-Hausdorff.

Fact 3. *A d-manifold $\langle W, A, g \rangle$ that results from gluing two non-isometric Taub-NUT spacetimes in accord with Definition 3, is a non-Hausdorff d-manifold.*

Proof. The d-manifolds $\langle M^{\uparrow+\downarrow+}, A^{++}, g^{++} \rangle$ and $\langle M^{\uparrow+\downarrow-}, A^{+-}, g^{+-} \rangle$ are non-isometric, as their metrics are different, yet they are the same as far as topology goes: the sets $M^{\uparrow+\downarrow-}$ and $M^{\uparrow+\downarrow+}$ are copies of $\mathfrak{R} \times S^3$ and the atlases are global. The d-manifolds are thus diffeomorphic. Further, the points of each d-manifold can be referred to by coordinates t, ψ, θ, ϕ , where $t \in \mathfrak{R}$ and ψ, θ, ϕ are Euler's angles on S^3 . There are thus points defined by the same set of coordinates, yet belonging to different d-manifolds, which we denote by $x_{t,\psi,\theta,\phi} \in M^{\uparrow+\downarrow-}$ and $y_{t,\psi,\theta,\phi} \in M^{\uparrow+\downarrow+}$. Consider two points $e_1, e_2 \in W$, with $e_1 = f_{+-}(x_{t_-,0,0,0})$ and $e_2 = f_{++}(y_{t_-,0,0,0})$. We will show that e_1, e_2 is a non-Hausdorff pair. Let us take arbitrary open neighborhoods of these points, $U_1 \subseteq W$, $e_1 \in U_1$ and $U_2 \subseteq W$, $e_2 \in U_2$. Consider a diffeomorphism $f : M^{\uparrow+\downarrow-} \mapsto M^{\uparrow+\downarrow+}$, $f(x_{t,\psi,\theta,\phi}) = y_{t,\psi,\theta,\phi}$ and region $F := f[f_{+-}^{-1}[U_1]] \cap f_{++}^{-1}[U_2] \subseteq M^{\uparrow+\downarrow+}$. F is open in the topology on $M^{\uparrow+\downarrow+}$, since f_{+-}^{-1} and f_{++}^{-1} are homeomorphisms (see Fact 2), f is a diffeomorphism, and U_1, U_2 are open in the topology on W . The point $y_{t_-,0,0,0}$ belongs to F . Given the standard topology on $\mathfrak{R} \times S^3$, there exist $t_3 > t_-$ such that $y_{t_3,0,0,0} \in F$. From the definitions of f and F it follows that $x_{t_3,0,0,0} \in f_{+-}^{-1}[U_1]$ and $y_{t_3,0,0,0} \in f_{++}^{-1}[U_2]$. These two points correspond in W to $e'_1 = f_{+-}(x_{t_3,0,0,0})$ and $e'_2 = f_{++}(y_{t_3,0,0,0})$, respectively. However, as $t_3 > t_-$, the points $x_{t_3,0,0,0}$ and $y_{t_3,0,0,0}$ have been actually glued together, which implies $e'_1 = e'_2$. Since from the construction $e'_1 \in U_1$ and $e'_2 \in U_2$, we conclude that $e'_1 = e'_2 \in U_1 \cap U_2$, so $U_1 \cap U_2$ is nonempty; hence $\langle W, A, g \rangle$ is non-Hausdorff. \square

We arrived thus at a non-Hausdorff manifold that resulted from gluing together non-isometric Taub-NUT spacetimes. The introduction of such objects to GR was motivated by a desire to have solutions to EFE that are as large as possible, but there are trade-offs in this chase for even larger solutions: the topologically nice (globally hyperbolic and Hausdorff) but small the Taub spacetime yields to larger Taub-NUT extensions (not globally hyperbolic but still Hausdorff), which in turn yield to non-Hausdorff manifolds resulting from gluing together the former extensions. The first two kinds of object are typically classified as GR spacetimes. Is the third object a GR spacetime as well? If not, how should one understand it? Before we address these questions, in

the next section we put forward two theorems that, we believe, suggest and support a modal interpretation of non-Hausdorff manifolds in GR.

4 Hausdorff vs. non-Hausdorff manifolds

In this section we prove that any non-Hausdorff d-manifold occurring in GR can be seen as a result of pasting together a family of Hausdorff d-manifolds.⁵ We give first an auxiliary theorem that any non-Hausdorff manifold can be decomposed into maximal Hausdorff submanifolds.⁶

Theorem 2 (Hájíček-Geroch). *A family \mathcal{H} of all maximal Hausdorff d-submanifolds of a non-Hausdorff d-manifold $\mathcal{W} = \langle W, A, g \rangle$ is an open covering of \mathcal{W} .*

Proof. Let Ω be the set of all open Hausdorff d-submanifolds of \mathcal{W} , $\Omega = \{ \langle U, A_U, g_U \rangle \mid U \subseteq W \text{ — open and Hausdorff, } A_U = A|_U, g_U = g|_U \}$. We introduce an ordering relation on the set Ω : $\langle U, A_U, g_U \rangle \preceq \langle V, A_V, g_V \rangle$ iff $U \subseteq V$.⁷ Obviously, $\langle \Omega, \preceq \rangle$ is a partial order. Pick now an arbitrary $p \in W$. By local Euclidicity p has an open neighborhood U^* homeomorphic to an open set of \mathbb{R}^n . Since \mathbb{R}^n (in the natural topology) is Hausdorff, U^* is Hausdorff as well, i.e., $\langle U^*, A_{U^*}, g_{U^*} \rangle \in \Omega$. Consider next the set $\Omega_p \subseteq \Omega$ of open Hausdorff d-submanifolds containing p . It is non-empty as $\langle U^*, A_{U^*}, g_{U^*} \rangle \in \Omega_p$ and partially ordered by \preceq . Take next an arbitrary chain $C = \{ \langle U_1, A_{U_1}, g_{U_1} \rangle, \langle U_2, A_{U_2}, g_{U_2} \rangle \dots \}$ of elements of Ω_p . We argue that C has an upper bound in Ω_p . To this end define the sum $\mathcal{U} + \mathcal{V}$ of open d-submanifolds of \mathcal{W} : $\mathcal{U} + \mathcal{V} = \langle U \cup V, A_{U \cup V}, g_{U \cup V} \rangle$, where $A_{U \cup V}$ is the atlas induced by A on $U \cup V$ and $g_{U \cup V}$ is the restriction of g to $U \cup V$. With the sum at hand, we define $+C := \langle \bigcup U_i, A|_{\bigcup U_i}, g|_{\bigcup U_i} \rangle$ with $A|_{\bigcup U_i}$ and $g|_{\bigcup U_i}$ explained analogously as above, and claim that $+C$ belongs to Ω_p and is an upper bound of C . Obviously, $\bigcup U_i$ is open and $p \in \bigcup U_i$. $+C$ is also Hausdorff since otherwise a pair witnessing non-Hausdorffness had to belong to some U_k (as C is a chain), which is a contradiction. Thus, $+C \in \Omega_p$. It is also easy to note that for any $c \in C$: $c \preceq +C$. Putting these together, C has an upper bound in Ω_p . We have thus seen that the assumptions of the Zorn-Kuratowski lemma are satisfied,

⁵We are indebted to P. Chruściel for describing to us some non-Hausdorff manifolds that occur in GR, and for posing the challenge of proving whether or not they are all constructible from Hausdorff d-manifolds. We hope we meet this challenge in this section.

⁶Proof of a slightly different theorem (restricted to completely separable non-Hausdorff manifolds) is given by Hájíček, who attributes it to Geroch; we present here our version, which is shorter and without the extra premise.

⁷Note that since Ω consists of open d-submanifolds, in the definition of \preceq , the inclusion induces the expected relation on atlases and metrics: a map from A_U is the restriction to U of a map from A_V and g_U is the restriction of g_V to U .

so by that lemma we get it that Ω_p has a maximal element. By unpacking this claim, we have it that there is an open maximal Hausdorff d-submanifold that contains p . As p is arbitrary, we proved that \mathcal{H} is an open covering of W . \square

We have finally come to the main theorem of this paper:

Theorem 3. *Any non-Hausdorff d-manifold $\langle W, A, g \rangle$ can be constructed by gluing together of maximal Hausdorff d-submanifolds of $\langle W, A, g \rangle$, with gluing defined in Definition 3.*

Proof. Let $\langle W, A, g \rangle$ be a non-Hausdorff d-manifold. By the Theorem 2, we can construct its open covering by maximal Hausdorff d-submanifolds \mathcal{H} . We will show that $\langle W, A, g \rangle$ can be constructed by gluing together these d-submanifolds. We number elements of \mathcal{H} by index set Γ of an appropriate cardinality: $\mathcal{H} = \{\langle U_\nu, A_{U_\nu}, g_\nu \rangle\}_{\nu \in \Gamma}$. For every two U_μ, U_ν , we define $U_{\mu\nu} = U_\mu \cap U_\nu$. Observe that there exist μ, ν such that $U_{\mu\nu} \neq \emptyset$ (take any non-Hausdorff connected component of W — its covering contains at least two elements which overlap). As a family of gluing functions take simply restrictions of identity on W to nonempty $U_{\mu\nu}$, that is, $\phi_{\mu\nu} : U_{\mu\nu} \mapsto U_{\mu\nu}$, $\phi_{\mu\nu}(p) = p$ for every $p \in U_{\mu\nu}$. They indeed satisfy the defining conditions of a gluing function, as $U_{\mu\nu}$ are open and identity is obviously an isometry. Let us define equivalence relation $R \subseteq W \times W$ in the following way: xRy iff (1) $x = y$ for $x \notin \bigcup\{U_{\mu\nu} : \mu, \nu \in \Gamma, U_{\mu\nu} \neq \emptyset\}$ or (2) $\phi_{\mu\nu}(x) = y$ for $x \in U_{\mu\nu} \neq \emptyset$. Now, define W' as a set of representatives of all elements of W/R . As all elements of this quotient structure are singletons, obviously $W' = W$. The atlas A and atlases on d-submanifolds agree in the sense of Definition 3 since the latter are restrictions of the former to d-submanifolds in question. The metric g and metrics g_μ agree for similar reasons. \square

Needless to say, the theorem implies that any non-Hausdorff d-manifold $\langle W, A \rangle$ (i.e., without an associated metric) can be constructed by gluing together of maximal Hausdorff d-submanifolds of $\langle W, A \rangle$ (again, without metrics).

The theorem provides an underpinning for the modal interpretation of a non-Hausdorff manifold occurring in GR, which sees it as encapsulating a bundle of alternative GR spacetimes, all of which are compatible with the initial data set. Their support for the modal interpretation comes from the universality the theorems bring. Just think of a non-Hausdorff manifold produced in the context of a failure of the initial value problem. The theorems then say that this manifold can be decomposed into maximal Hausdorff sub-manifolds and the decomposition is faithful in the sense that the initial non-Hausdorff manifold is recovered by gluing these sub-manifolds together. Moreover, whenever

gluing identifies some regions of sub-manifolds, these regions are physically alike (they are identical). A non-Hausdorff manifold, whose maximal Hausdorff sub-manifolds have some additional features so they qualify as GR spacetimes, naturally reads as a bundle of GR spacetimes that is glued together in physically identical regions. Further, if the metrics on the sub-manifolds satisfy EFE, the sub-manifolds are arguably physically possible and are compatible with the physical situation in regions of overlap.

On the other hand, the universality of the above theorems spells trouble for the modal interpretation as they are not sensitive to temporal orientation. The gluing procedure can glue together regions that are in any possible relation to a specified region: they can be to the past or to the future of it, or related to it in a space-like way.⁸ Needless to say, only the first option is compatible with indeterminism, understood as the existence of alternative *future* developments. It is thus problematic whether just any non-Hausdorff d-manifold can admit the modal interpretation.

5 Bifurcating curves – friends or foes?

There is a staple objection against non-Hausdorff manifolds that (1) they admit bifurcating curves or bifurcating geodesics, and that (2) such bifurcating objects are bad. Against (2) we argue that on the modal interpretation of non-Hausdorff manifolds, such bifurcating objects are not bad; to the contrary, they are very much welcome. However (we continue to argue), there is typically no room for bifurcating geodesics in non-Hausdorff manifolds, in contrast to objection (1). As we would like to have bifurcating geodesics, we are not happy about the second argument.

To give some examples of the objection to bifurcating geodesics, since a geodesic is standardly assumed to be a (potential) worldline of a free test particle, Earman (2008) asked “how would such a particle know which branch of a bifurcating geodesic to follow?”. In a similar vein, Hawking and Ellis (1973)[p. 174] opined that “a [bifurcating] behavior of an observer’s world-line would be very uncomfortable”, with “one branch going into one region and another branch going into another region”. Hájíček (1971b)[p. 79] observed that a system cannot have two solutions unless these solutions form a bifurcating curve, and concludes: “Therefore, in view of the classical causality conception coinciding with determinism it is sensible to rule out the bifurcate curves.”

⁸Here emerges an interesting question of whether one can glue two manifolds in a fully atemporal way, i.e., such that for any point in the glued-together region, the region has alternative developments only in the space-like directions.

Now, if indeterminism, in the sense of the existence of a system's alternative possible evolutions, is true, the objections fall flat. That is, if indeterminism is true, there is no answer why one rather than another alternative possible evolution actually occurs. Similarly, an indeterministically evolving observer might go into one spatiotemporal region, but also might go into a different spatiotemporal region. Finally, given the existence of alternative possible evolutions, picking determinism as a construction principle for a theory of physics is not conducive to truth, though it may have other virtues.

To relate these observations to objection (2), an intuitive way of picturing indeterminism conceives it as being produced locally in space and time. That is, if we contemplate alternative evolutions, musing for instance why one rather than the other has actualized, we wonder when and where one of these evolutions stopped being possible, and what brought this about. Arguably, in such contexts we are after a well-localized object. A paradigmatic example is a pointer to a well-localized electron in a Stern-Gerlach device: before it enters the device, scenarios with traces at the bottom or top of the photographic plate are possible, but after the electron has left the device only one of them is possible. The behavior of the electron in the Stern-Gerlach device is seen as underlying the dynamics of the global scenarios: once two alternative scenarios were possible, but later only one was possible. Clearly, similar appeals to the behavior of well-localized objects to explain the dynamics of global scenarios is even more dominant in human affairs, when the fact that we live in one scenario rather than another is attributed to agents' choices. We call this variety of indeterminism "local indeterminism".

On local indeterminism, alternative evolutions of a big system (our world included) require alternative evolutions of at least one of its small components. As one idealizes the component to be point-like, the alternative evolutions can be seen as alternative trajectories with two coinciding segments and two separate segments. With further assumptions, such trajectories can be represented as functions from a real interval to a manifold's base set. Needless to say, trajectories representing alternative evolutions bifurcate. As the standard view on geodesics identifies them with trajectories of (test) particles, it follows that geodesics representing alternative evolutions of a (test) particle bifurcate as well. Accordingly, if cases of non-unique solutions to EFE mean indeterminism, and the indeterminism in question is local, there must be bifurcating curves.

Turning to objection (1), a pertinent question is whether non-Hausdorff manifolds indeed imply the existence of bifurcating curves. There is a compelling picture linking non-Hausdorffness to bifurcating curves. It works like this: suppose we have a pair of points, e_1 and e_2 , witnessing a failure of the Hausdorff condition. Then it 'must' be

possible to draw two continuous lines, one passing through e_1 and the other passing through e_2 in such a way that they coincide below the two points, but do not overlap above them, where ‘below’ and ‘above’ are purely conventional. Thus, the picture suggests that we can always use a failure of Hausdorffness to produce bifurcating curves. Yet, this picture is misleading and wrong: there are non-Hausdorff d -manifolds that do not admit bifurcating curves. For an analysis of how it is possible in a physically relevant case of a non-Hausdorff extension of Misner spacetime, see Margalef-Bentabol and Villaseñor (2014). (This case is relevant as the extension is produced by the pasting technique of Definition 3). Under what conditions non-Hausdorff d -manifolds admit bifurcating curves is thus a nontrivial question that is answered by Hájíček’s (1971a) theorem, stated below.

After this preview, let us do some work. First (to recall), by a curve one means a continuous function from a real interval to a manifold’s base set, and a geodesic is a curve that satisfies the geodesic equation. Does uniqueness results obtain for geodesics, and if so, is Hausdorffness needed for uniqueness to obtain? Beginning with local uniqueness, in a Hausdorff or non-Hausdorff d -manifold alike, if a metric g is appropriately continuous, given a point p and a vector at this point, in some neighborhood of p there is a unique geodesic that passes through the point and whose tangent at this point coincides with the vector.⁹ Hausdorffness permits strengthening this local result to global uniqueness: for a Hausdorff d -manifold M , given the continuity condition on g , a point $p \in M$ and a vector ξ at p , there is a unique maximal geodesic $\gamma : I \rightarrow M$ such that (1) $\gamma(0) = p$ and (2) $\dot{\gamma}(0) = \xi$, where $\dot{\gamma}(0)$ stands for a tangent to γ at point $p = \gamma(0)$. The maximality of γ means that if there is some other geodesic $\gamma' : I' \rightarrow M$ satisfying conditions analogous to (1) and (2) above, then $I' \subseteq I$. In sum, (given the continuity assumption on g), no geodesics can bifurcate in Hausdorff manifolds, but non-Hausdorff manifolds might be conducive to bifurcating geodesics.

Having the distinction between global and local uniqueness of geodesics, we link it to two kinds of bifurcation (cf. Hájíček (1971a)).

Definition 4. *A bifurcating curve of the first kind on a C^r generalized d -manifold M is a pair $\langle C_1, C_2 \rangle$ of C^r -continuous curves $C_1 : I \rightarrow M$, $C_2 : I \rightarrow M$ such that for some $k \in I$: $\forall x \in I$ [$x \leq k \Leftrightarrow C_1(x) = C_2(x)$], where I is an interval in \mathfrak{R} .*

A bifurcating curve of the second kind on a C^r generalized d -manifold M is a pair $\langle C_1, C_2 \rangle$ of C^r -continuous curves $C_1 : I \rightarrow M$, $C_2 : I \rightarrow M$ such that for some $k \in I$: $\forall x \in I$ [$x < k \Leftrightarrow C_1(x) = C_2(x)$].

⁹See e.g. Chruściel (2011, p. 6). Typically, a metric of a GR spacetime meets the mentioned continuity requirement. For examples of metrics that do not satisfy it and accordingly generate non-unique bifurcating geodesics, see (Chruściel, 1991, Appendix F).

Thus, in a bifurcating curve of the first kind, there is a maximal element of interval I at which C_1 and C_2 agree, whereas in a bifurcating curve of the second kind there is no maximal element in I at which C_1 and C_2 agree.

Now, as in a generalized d-manifold a geodesic is uniquely determined, locally speaking, by a point and a vector, no geodesics can make a bifurcating curve of the first kind. Thus, there is no room for bifurcating geodesics of the first kind in generalized d-manifolds. But local uniqueness alone does not preclude bifurcating curves of the second kind. Such curves are precluded by global uniqueness, and global uniqueness is true in Hausdorff d-manifolds. Thus, if there are bifurcating geodesics, they are of the second kind and in a non-Hausdorff manifold. But are they there?

As we have shown, any non-Hausdorff d-manifold is constructible from a family of Hausdorff manifolds, so perhaps this information can shed light on the existence of bifurcating curves (of the second kind) in these manifolds? As we shall see, this is indeed the case.

We need an auxiliary notion to begin with.

Definition 5 (Continuously extendible gluing). *A gluing map $\phi : A \mapsto B$, $A \subseteq M_1, B \subseteq M_2$ is continuously extendible iff there exist A', B', ϕ' such that $A \subsetneq A' \subseteq M_1, B \subseteq B' \subseteq M_2$, $\phi' : A' \mapsto B'$, ϕ' is continuous and $\phi'|_A = \phi$.*

Theorem 4. *The necessary and sufficient condition for a d-manifold constructed by gluing together Hausdorff d-manifolds to admit bifurcating curves of the second kind is that the gluing be continuously extendible. (Hájíček, 1971a)*

As every non-Hausdorff d-manifold is constructible by gluing of Hausdorff d-manifolds, Hájíček's theorem yields a universal method to decide if it admits bifurcating geodesics, or not.

We use Theorem 4 to argue that 'sound' non-Hausdorff d-manifolds (to be explained soon) occurring in GR are not continuously extendible, and hence do not admit bifurcating curves of the second kind. Let us return to our GR example: the construction of the non-Hausdorff extension of the two Taub-NUT spacetimes exhibited in Section 3. The open sets U^{++} and U^{+-} , glued together by isometry ϕ_{12} , are maximal isometric subsets of $M^{\uparrow\uparrow\uparrow}$ and $M^{\uparrow\uparrow\downarrow}$, respectively. Thus any function $f : M^{\uparrow\uparrow\uparrow} \rightarrow M^{\uparrow\uparrow\downarrow}$ that properly extends ϕ_{12} is not an isometry, so it is not glued. Accordingly, the gluing that produces a non-Hausdorff extension of the Taub spacetime is not continuously extendible. Ergo, the non-Hausdorff extension of the Taub spacetime admits no bifurcating curves of the second kind.

Now, if every non-Hausdorff d-manifold occurring in GR behaves like the non-Hausdorff extension of Taub-NUT spacetimes described above, there would be no bifurcating curves of the second kind in

these manifolds. So the question is whether this example generalizes to other non-Hausdorff manifolds that are constructible in GR. Observe that the crucial move of the above argument is that the regions identified by the gluing function are maximal isometric regions of the component manifolds. A corresponding requirement is not part of Definition 3 of the gluing function and is not part of Definition 3 of the gluing procedure. These definitions describe an abstract mathematical object, a generalized d-manifold, and its relation to Hausdorff manifolds, understood as its components. They do not pay attention to how and at which end the defined procedure can be applied.

The application we investigate and advertise aims to produce a modal representation of a spatio-temporal world: if there are non-unique solutions of EFE for some data (which, by the Choquet-Bruhat and Geroch theorem, cannot be globally hyperbolic (1969)), we consider these solutions as alternative possible evolutions that start from an initial data set and we paste them together into a non-Hausdorff manifold. In this application a natural directive is to identify (by gluing) whatever can be identified, following the idea that indeterminism requires a qualitative difference between alternative possible scenarios. This amounts to the requirement to glue together maximal isometric regions of component manifolds if there are such regions. Gluing larger regions is excluded by Definitions 2 and 3, but gluing smaller than maximal isometric regions is allowed by these definitions.

To accommodate the desideratum to glue together maximal isometric regions of component manifolds, one may add this postulate to Definition 3:

Postulate 1 (sound gluing of manifolds). *For $p \in W_\mu, q \in W_\eta$, $f_\mu(p) = f_\eta(q)$ iff there are open subsets A and B of W_μ and W_η , resp., and an isometry $\xi : A \mapsto B$ s.t. $p \in A$, $q \in B$, and $\xi(p) = q$.*

The left-hand side means that two points, each from a different component manifold, give rise to one and the same point in the non-Hausdorff d-manifold, whereas the right-hand says that the points belong to appropriate isometric open subsets and are linked by a corresponding isometry. It would be counter-intuitive if some pairs of isometry-linked pairs p and q give rise to single points in the resulting non-Hausdorff d-manifold, but others give rise to pairs of points. This discrepancy would call for an explanation, but none would be forthcoming. In this case, a non-Hausdorff d-manifold would adequately account for the isometry relations inherent in its building blocks. To link this to determinism, gluing of regions smaller than maximal isometric ones produces what might be called indeterministic branching without a qualitative difference: immediately “above” identified regions there would be qualitatively identical neighboring regions that nevertheless belonged to separate spacetimes. This pattern of similarity vs. identi-

fication leads to concern over why these qualitatively identical neighboring regions are not identified by the gluing function.

Clearly, Postulate 1 raises mathematical or interpretational issues: Do any two Hausdorff d -manifolds admit maximal isometric regions? Even if in a collection of Hausdorff d -manifolds any two of them have maximal isometric regions, does the gluing procedure always satisfy Postulate 1 (i.e., doesn't the multiplicity of components get in the way?) Can there be non-connected maximal isometric regions, and if so, how are we to interpret the resulting non-Hausdorff manifold?

We leave these issues aside as they are somewhat tangential to the main observation of this section. This observation is, in a nutshell, that the more successful one is in delivering a modal representation of multiple solutions to EFE, the less likely are the bifurcating curves of the second kind. We take it that a successful representation of indeterminism calls for the satisfaction of Postulate 1. This postulate requires gluing functions to identify maximal isometric regions, which means that the functions are not continuously extendible; so, by Theorem 4 the resulting non-Hausdorff manifold does not admit bifurcating curves of the second kind, and hence does not admit bifurcating geodesics of the second kind. Since, to recall, by the geodesic equation there are no bifurcating geodesics of the first kind either, there are no bifurcating geodesics. On the other hand, it might happen that a particular set of multiple solutions to EFE does not satisfy the Postulate, in which case the modal interpretation of these solutions is doubtful. Yet a failure of the Postulate may open the door for bifurcating curves (geodesics) of the second kind.

We find this situation deeply paradoxical. In a nutshell, given a set of multiple solutions to EFE, the better the case it makes for the indeterminism of GR, the worse it is for *local* indeterminism. Perhaps a way out is to countenance a non-local variety of indeterminism. This comes with a price, however, as the divergence of the two notions of local and global indeterminism is deemed counterintuitive, see e.g., Belot (1995); Melia (1999); Sattig (2015).

6 Conclusions

Our paper revolves around two questions, both related to non-Hausdorff manifolds in GR: (1) What can these manifolds represent? And, in particular, (2) can they be used to represent indeterminism, modally understood?

The main formal result in the light of which we studied these questions says that every non-Hausdorff d -manifold can be constructed by gluing together a family of Hausdorff d -manifolds. This of course means that the gluing procedure delivers every non-Hausdorff d -manifold

of GR as well. Typically, the construction of these manifolds in GR is motivated by the desire to produce a possibly large solution of EFE, and gluing together non-isomorphic solutions to EFE is a way to achieve this goal. The side-effect of this procedure, however, is that the resulting objects are non-Hausdorff. Since Hausdorffness is standardly required for a manifold to represent a GR spacetime, the question emerges of what such non-Hausdorff manifolds constructed in GR can represent.

To begin with the negative response, it says that non-Hausdorff manifolds are purely mathematical objects, and so they cannot represent anything physically meaningful. Another option, which was once investigated in the physics literature, is to relax the criteria for being a GR spacetime, so a non-Hausdorff d-manifold is not automatically dismissed as a candidate for a GR spacetime. Our own attempt is to steer somewhere between these two responses. We concede that non-Hausdorff manifolds produced outside of the context of the initial value problem might have no interpretation. We conservatively keep the requirement of Hausdorffness for GR spacetimes, while viewing a non-Hausdorff manifold produced by gluing together a set of non-unique solutions to EFE as a modal representation of this set. That is, we read such a manifold as encapsulating multiple possible spatiotemporal evolutions (spacetimes) every one of which is compatible with a given initial data set; this looks like a clear case of indeterminism.

To adopt this interpretation, we argued that a non-Hausdorff manifold should be constructible by a more stringent gluing procedure, one that satisfies Postulate 1 as well. Although a non-Hausdorff manifold whose components are non-isometric Taub-NUT spacetimes is constructible by the more stringent procedure, we do not know if this more stringent gluing procedure can be performed for all cases. More worryingly (from a philosophical perspective), Postulate 1 has a consequence (via Theorem 4) that spells trouble for the modal interpretation: there are no bifurcating curves, and hence, no bifurcating geodesics in a non-Hausdorff manifold obtained by the gluing procedure that satisfies the Postulate.

As we argued, the usual notion of indeterminism is local: if there are alternative evolutions of a big system, some small component of this system has to have alternative trajectories. Since geodesics are standardly identified with trajectories of free (test) particles, the fact that there are no bifurcating geodesics excludes local indeterminism. Thus, a non-Hausdorff manifold produced in accord with Postulate 1 cannot represent locally indeterministic evolutions. It looks like we are thus back to square one: what can non-Hausdorff manifolds represent?

The answer depends on what stance one takes to a non-local variety of indeterminism, which might, and in GR cases does, conflict with the local variety. If we accept the non-local notion, there is no obstacle to

reading a non-Hausdorff manifold produced from non-unique solutions of EFE in accord with Postulate 1 as the representation of non-local indeterminism. After all, relativistic physics has questioned a few intuitive notions, so its verdict that there might be indeterminism without local indeterminism should not come as a big shock. But, arguing in the opposite direction, one might recall that GR is concerned with big rather than small objects, so its failure to accommodate local indeterminism might just reflect its neglect of micro objects. Another option is to consider non-Hausdorff manifolds as they occur in GR contexts as purely mathematical objects without any physical meaning. Finally, the old option of some physicists – allowing for non-Hausdorff spacetimes – might be back on the table: their main objection to non-Hausdorffness was that it introduces bifurcating geodesics, but as we saw, there are no such geodesics if Postulate 1 is accommodated.¹⁰

We leave to the Reader the choice as to which option is preferred.

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¹⁰Physicists observed that in many non-Hausdorff spacetimes of physical interest there are no bifurcating geodesics and thus considered dropping the Hausdorff condition as a criterion for GR spacetimes. In this spirit, Hawking and Ellis (1973, p. 174) allowed for non-Hausdorff spacetimes without bifurcating geodesics; similarly Geroch (1968, p. 465) permitted non-Hausdorff spacetimes in which every geodesic has a unique extension and every curve has no more than one end point.

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